

District: **BALLANTRAE COMMUNITY DEVELOPMENT DISTRICT**

Date of Meeting: Wednesday, November 20, 2019

Time: 6:30 PM

Location: Ballantrae Community Center
17611 Mentmore Blvd.
Land O' Lakes, Florida 34638

Dial-in Number: 712-775-7031
Guest Access Code: 109-516-380

Audit Committee Agenda

I. Roll Call

II. Audience Comments

III. Administration Items

A. Review of RFP and Evaluation Criteria

IV. Business Matters

A. Authorization to Proceed with Publications of RFP

B. Scheduling of Next Meeting Date

V. Adjournment

EXHIBIT 1

**Ballantrae Community Development District
Request for Proposals for Annual Audit Services**

The Ballantrae Community Development District ("**District**") hereby requests proposals for annual financial auditing services. The proposal must provide for the auditing of the District's financial records for the fiscal year ending September 30, 2020, with an option for two additional annual renewals. The District is a local unit of special- purpose government created under Chapter 190, Florida Statutes, for the purpose of financing, constructing, and maintaining public infrastructure. The District has an annual general fund budget (not including debt service) for Fiscal Year 2020 of approximately \$1,027,472.00. Additional information regarding the District and its infrastructure can be found in the District's Disclosure of Public Finance which is available at the offices of the District Manager. The final contract will require that, among other things, the audit for each fiscal year be completed no later than May 1 of each fiscal year.

Each auditing entity submitting a proposal must be authorized to do business in Florida; hold all applicable state and federal professional licenses in good standing, including but not limited to a license under Chapter 173, Florida Statutes; and be qualified to conduct audits in accordance with "Government Auditing Standards," as adopted by the Florida Board of Accountancy. Audits shall be conducted in accordance with Florida law and particularly Section 218.39, Florida Statutes, and the rules of the Florida Auditor General.

Proposal packages, which include evaluation criteria and instructions to proposers, are available from the District Manager using the contact information listed below.

The District reserves the right to reject any and all proposals. Additionally, there is no express or implied obligation for the District to reimburse proposers for any expenses associated with the preparation and submittal of the proposals in response to this request.

Any protest regarding the terms of this Notice, or the evaluation criteria on file with the District Manager, must be filed in writing, within seventy-two (72) hours after the publication of this Notice. The formal protest setting forth with particularity the facts and law upon which the protest is based shall be filed within seven (7) calendar days after the initial notice of protest was filed. Failure to timely file a notice of protest or failure to timely file a formal written protest shall constitute a waiver of any right to object or protest with respect to aforesaid Notice or evaluation criteria provisions. Any person who files a notice of protest shall provide to the District, simultaneous with the filing of the notice, a protest bond with a responsible surety to be approved by the District and in the amount of Ten Thousand Dollars (\$10,000.00). Additional information and requirements regarding protests are set forth in the District's Rules of Procedure, which are available from the District Manager.

Proposers must provide seven (7) hard copies and one (1) digital version of their proposal to the District Manager, c/o DPFM Management & Consulting, LLC located at 15310 Amberly Drive, Suite 175, Tampa FL in an envelope marked on the outside "Auditing Services – Ballantrae Community Development District." Proposals must be received by 3 p.m. on December 10, 2019, at the office of the District Manager. Please direct all questions regarding this Notice in writing to the District Manager, Patricia Thibault at patricia.thibault@dpfg.com, with e-mail copies to Vivek K. Babbar at VBabbar@srvlegal.com.

Ballantrae Community Development District
Patricia Thibault, District Manager
Publish Notice: November 22, 2019

Ballantrae Community Development District
Request for Proposals for Annual Audit Services
Pasco County, Florida

INSTRUCTIONS TO PROPOSERS

1. **PROPOSAL DOCUMENTS.** The proposal documents shall consist of the notice announcing the request for proposals, these instructions, the evaluation criteria and a proposal with all required documentation pursuant to Section 12 of these instructions (the “**Proposal Documents**”).
2. **DUE DATE.** Sealed proposals (“**Proposal**”) must be received no later than December 10, 2019 at 3 p.m., at the offices of the District Manager, Patricia Thibault, c/o DPF Management & Consulting, LLC located at 15310 Amberly Drive, Suite 175, Tampa FL 33647.
3. **FAMILIARITY WITH THE LAW.** By submitting a Proposal, each proposer (“**Proposer**”) is assumed to be familiar with all federal, state, and local laws, ordinances, rules and regulations that in any manner affect the work. Ignorance on the part of the Proposer will in no way relieve it from responsibility to perform the work covered by the Proposal in compliance with all such laws, ordinances and regulations.
4. **QUALIFICATIONS OF PROPOSER.** The contract, if awarded, will only be awarded to a responsible Proposer who is qualified by experience and licensing to do the work specified herein. The Proposer shall submit with its Proposal satisfactory evidence of experience in similar work and show that it is fully prepared to complete the work to the satisfaction of the District.
5. **DISQUALIFICATION.** A Proposer shall be disqualified and its Proposal rejected if the District has reason to believe that collusion may exist among the Proposers, the Proposer has defaulted on any previous contract or is in arrears on any previous or existing contract, or for failure to demonstrate proper licensure and business organization.
6. **SUBMISSION OF PROPOSAL.** Each Proposer shall submit seven (7) hard copies and one (1) digital version of its Proposal, and other requested attachments at the time and place indicated herein, which shall be enclosed in an opaque sealed envelope, marked with the title "Auditing Services – Ballantrae Community Development District" on the face of it.
7. **MODIFICATION AND WITHDRAWAL.** Proposals may be modified or withdrawn by an appropriate document duly executed and delivered to the place where the Proposals are to be submitted at any time prior to the time and date the Proposals are due. No Proposal may be withdrawn after opening for a period of ninety (90) days.
8. **PROPOSAL.** In making its Proposal, each Proposer represents that it has read and understands the Proposal Documents and that the Proposal is made in accordance therewith.

9. BASIS OF AWARD/RIGHT TO REJECT. The District reserves the right to reject any and all Proposals, make modifications to the work, and waive any informalities or irregularities in Proposals as it is deemed in the best interests of the District.
10. CONTRACT AWARD. Within fourteen (14) days of receipt of the Notice of Award from the District, the Proposer shall enter into and execute a contract/engagement letter with the District.
11. LIMITATION OF LIABILITY. Nothing herein shall be construed as or constitute a waiver of District's limited waiver of liability contained in section 768.28, Florida Statutes, or any other statute or law.
12. CONTENTS OF PROPOSALS. All Proposals shall include the following information in addition to any other requirements of the Proposal Documents.
 - a. Information regarding the proposer's authority to do business in Florida; proof of all applicable state and federal professional licenses; and proof of the proposer's qualifications to conduct audits in accordance with Government Auditing Standards as adopted by the Florida Board of Accountancy.
 - b. List position or title of all personnel to perform work on the District audit. Include resumes for each person listed; list years of experience in present position for each party listed and years of related experience.
 - c. Information regarding the geographic location of the firm's headquarters or permanent office in relation to the project.
 - d. Describe proposed staffing levels.
 - e. Provide three references from projects of similar size and scope. The Proposer should include information relating to the work it conducted for each reference as well as a name, address and phone number of a contact person. Identify any work previously conducted for other community development districts.
 - f. Describe approach to the project.
 - g. Provide information regarding the adequacy of Proposer's financial resources and stability as a business entity necessary to complete the services required.
 - h. The lump sum cost of the provision of the services under the Proposal, plus the cost of any renewals.
13. PROTESTS. Any protest regarding the Proposal Documents, must be filed in writing, at the offices of the District Manager, within seventy-two (72) hours after issuance of the

Notice of Request for Proposals. The formal protest setting forth with particularity the facts and law upon which the protest is based shall be filed within seven (7) calendar days after the initial notice of protest was filed. Any person who files a notice of protest challenging the Proposal Documents or any resulting Notice of Award shall provide to the District, simultaneous with the filing of the notice, a protest bond with a responsible surety to be approved by the District and in the amount of Ten Thousand Dollars (\$10,000.00). In the event the protest is successful, the protest bond shall be refunded to the protestor. In the event the protest is unsuccessful, the protest bond shall be applied towards the District's costs, expenses, and attorney's fees associated with hearing and defending the protest. In the event the protest is settled by mutual agreement of the parties, the protest bond shall be distributed as agreed to by the District and protestor. Failure to timely file a notice of protest or failure to timely file a formal written protest shall constitute a waiver of any right to object or protest with respect to aforesaid contract award.

14. EVALUATION OF PROPOSALS. The criteria to be used in the evaluation of Proposals are presented in the evaluation criteria, contained within the Proposal Documents.

AUDITOR SELECTION EVALUATION CRITERIA

1. Ability of Personnel. (20 Points)

This includes the geographic locations of the firm's headquarters or permanent office in relation to the project; capabilities and experience of key personnel; present ability to manage this project; evaluation of existing work load; proposed staffing levels, etc.

2. Proposer's Experience. (20 Points)

This includes past record and experience of the Proposer in similar projects; volume of work previously performed by the firm; past performance for other community development districts in other contracts; character, integrity, reputation, of respondent, etc.

3. Understanding of Scope of Work. (20 Points)

This category addresses the extent to which the proposal demonstrates an understanding of the District's needs for the services requested.

4. Ability to Furnish the Required Services. (20 Points)

This category addresses the extent to which the proposal demonstrates the adequacy of Proposer's financial resources and stability as a business entity necessary to complete the services required.

5. Price. (20 Points)

Points will be awarded based upon the lowest total bid for rendering the services and the reasonableness of the bid.